

Attorney/Docket No. 33045.12 Customer No. 000027683

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Robert F. Buck

Group Art Unit: Unknown

Serial No. 10/706,154

Examiner: Unknown

Filed: November 12, 2003

For: FINE-GRAINED MARTENSTIC STAINLESS STEEL AND METHOD THEREOF

## INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 CFR §1.56, and in accordance with the practice under 37 CFR §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed modified Form PTO-1449. No inference should be made that the cited references are in fact material, are in fact prior art, or that no better art exists. The cited patents are listed in numerical order and are not in any order based on their pertinence.

The above-identified application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirement under 37 CFR 1.98 (a)(2)(i) as stated in a Pre-OG Notice dated July 11, 2003, copies of only the foreign patent documents and non-patent literature listed on the enclosed modified Form PTO-1449 are attached.

This Information Disclosure Statement is being filed within three months of the United States filing date or before the mailing date of a first Office Action on the merits. No certification or fee is required (37 CFR §1.97(b)).

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 08-1394.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Date:

HAYNES AND BOONE, LLP 901 Main Street, Suite 3100

Dallas, Texas 75202-3789 Telephone: 713-547-2301 Facsimile: 214-200-0853

File: 33045.12 H-472544\_1.DOC

Respectfully submitted,

Todd Mattingly

Registration No. 40,298

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 3-31-04

Printed Name

Signature



In plass of PT 6:1449

SHEET

U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known				
Application Number	10/706154			
Filing Date	November 12, 2003			
Applicant(s)	Robert F. Buck			
Art Unit	N/A			
Examiner Name	N/A			
Attorney Docket Number	33045.12			

Cite No	Foreign Patent	Publication Date	Patentee or Applicant of Cited	Translation
140.	(Country Code Number Kind)	1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	Document	
CA	WO 02/18666	03-07-2002	Yoshihiro Ozaki	N
СВ	FR 2700174	01-07-1993	Jacques Gerard	N
CC	CA 2,233,117	09-25-1998	Ehrhard Kluge	Y
CD	GB 986,061	01-02-1962	The Carpenter Steel Company	Y
CE	GB 976,735	12-01-1964	Allegheny Ludlum Steel Corporation	Y
CF	GB 921,838	03-27-1963	North American Aviation, Inc.	Υ
CG	GB 918,621	02-13-1963	The United Steel Companies Limited	Y
СН	GB 883,024	11-22-1961	The United Steel Companies Limited	Y
CI	GB 836,026	06/1960	John Ivan Morley	Y
CJ	GB 678,616	09/1952	Alloy Research Corporation	Y
CK	AU 242,854	10/1962	Hayes, et al.	Y
CL	DE 2,148,421	04/1972		XN
СМ	FR 1,177,028	04/1959	Societe des Forges Et Ateliers Du Creusot	N
CN	JP 50-7528	03/1975		Y (Abstract)
CO	JP 51-2615	01/1976		Y (Abstract)
CP	JP 55-079857	06/1980		Y (Abstract)
CR	JP 55-110758	08/1980		Y (Abstract)
CQ	JP 55-134159	10/1980		Y (Abstract)
CS	JP 60-029448	02/1985		Y (Abstract)
	CA CB CC CD CE CF CG CH CI CJ CK CL CM CO CP CR CQ	No. Document (Country Code - Number - Kind)  CA WO 02/18666  CB FR 2700174  CC CA 2,233,117  CD GB 986,061  CE GB 976,735  CF GB 921,838  CG GB 918,621  CH GB 883,024  CI GB 836,026  CJ GB 678,616  CK AU 242,854  CL DE 2,148,421  CM FR 1,177,028  CN JP 50-7528  CO JP 51-2615  CP JP 55-079857  CR JP 55-110758  CQ JP 55-134159	No.         Document (County) Code - Number - Kind)         MM-DD-YYYY           CA         WO 02/18666         03-07-2002           CB         FR 2700174         01-07-1993           CC         CA 2,233,117         09-25-1998           CD         GB 986,061         01-02-1962           CE         GB 976,735         12-01-1964           CF         GB 921,838         03-27-1963           CG         GB 918,621         02-13-1963           CH         GB 883,024         11-22-1961           CI         GB 836,026         06/1960           CJ         GB 678,616         09/1952           CK         AU 242,854         10/1962           CL         DE 2,148,421         04/1972           CM         FR 1,177,028         04/1959           CN         JP 50-7528         03/1975           CO         JP 51-2615         01/1976           CP         JP 55-079857         06/1980           CQ         JP 55-134159         10/1980	No.   Document

OTHER PRIOR ART			
Examiner's Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item, date, page(s), volume- issue number(s), publisher, city/country where published	
	DA	"Heat-Resistant Steels for Advanced" by Toshio Fujita, Professor Emeritus, University of Tokyo, Tokyo, Japan – Advanced Materials and Processes of 04/1992 (pg. 42 through 47)	
	DB	"Physical Chemistry of the Groups IVa (Ti, Zr), Va (V, Nb, Ta) and the Rare Earth Elements in Steel" by Kiichi Narita – Transactions ISIJ, Vol. 15, 1975 (pg. 145 through 152)	

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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.